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William D. Devaul Boston Office 617.832.1288 wdevaul@foleyhoag.com

January 8, 2002

Box Missing Parts Assistant Commissioner for Patents Washington, DC 20231

Re:

U.S. Patent Application No.: 09/970,160 - filed: October 2, 2001

Entitled: Enhanced Method and System for Category Selection

By:

Scott. S. Lawton

Docket No.: CTK-001.01 (21910-101)

Dear Sir:

In response to the Notice to File Missing Parts mailed November 8, 2001, Applicant encloses for filing in the above-referenced patent application the following:

- 1. This Missing Parts Transmittal Letter with a written assertion of Small Entity Status with a Certificate of First Class Mailing (in duplicate);
- 2. A copy of the Notice to File Missing Parts of Nonprovisional Application;
- 3. A combined Declaration and Power of Attorney executed by Scott. S. Lawton; and
- 4. A return receipt postcard.

Applicant claims small entity status under 37 CFR 1.27 (as amended on September 8, 2000) for this application. Based on small entity status, the Commissioner is hereby authorized to charge \$471.00 for this response which includes payment for the basic application fee (\$370.00), the additional claims fee (\$36.00) and the surcharge for the late filing of a declaration (\$65.00) to Deposit Account No. **06-1448**.

The Commissioner is also authorized to charge any underpayments or credit any overpayments to Deposit Account No. 06-1448.



U.S. Patent Application No.: 09/970,160

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### Certificate of First Class Mailing

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail, postage prepaid in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231 on the date set forth below:

William D. Devaul

January 8, 2002

Date

Respectfully submitted,

FOLEY HOAG

William D. Devaul Reg. No. 42,483

Attorney for Applicants Customer ID No. 25181





## **ORIGINALLY FILED**





### UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBE 09/970.160

RECEIPT DATE (02/2001

FIRST NAMED APPLICANT Scott S Lawton

ATTORNEY DOCKET NUMBER

CTK-001 01 (21910-0101)

025181 FOLEY, HOAG & ELIO

PATENT GROUP

ONE POST OFFICE SQUARE **BOSTON, MA 02109** 

FINAL: 6.8.02

**CONFIRMATION NO. 9597** 

FORMALITIES LETTER 

OC000000007041064\*

Date Mailed: 11/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$36.
  - \$36 for 4 total claims over 20.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 471.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

NOV 1 3 2001

W) 11/50/01

PATENT DEPT. **DOCKETING** 



A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE